

May 18, 2020

Listing Department
National Stock Exchange of
India Limited
Exchange Plaza, Plot no. C/1,
G Block, Bandra-Kurla Complex
Bandra (E),
Mumbai - 400 051

Department of Corporate
Services-Listing
BSE Limited
Phiroze JeeJeebhoy Towers,
Dalal Street,
Fort,
Mumbai – 400 001

Scrip Code: ORIENTELEC

Scrip Code: 541301

Sub: Annual Secretarial Compliance Report for the financial year ended March 31, 2020.

Dear Sir(s),

Pursuant to the SEBI Circular no. CIR/CFD/CMD1/27/2019, dated February 08, 2019, please find enclosed herewith the Annual Secretarial Compliance Report of Orient Electric Limited for the financial year ended March 31, 2020, as issued by M/s A. K. Labh & Co, practicing company secretaries.

Kindly take the attached report on your records and acknowledge the receipt.

Thanking you,

Yours faithfully,
For **Orient Electric Limited**

Hitesh Kumar Jain
Company Secretary
Encl: a/a

A. K. LABH

FCS, ACMA (ICAI), MBA, M.Com., ACSI (Lond)
DIM, DHRD, PGHDSM, DIRPM
Practicing Company Secretary



A. K. LABH & Co.

Company Secretaries

40, Weston Street, 3rd Floor, Kolkata - 700 013

☎ (033) 2221-9381, Fax : (033) 2221-9381

Mobile : 98300-55689

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Website : www.aklabh.com

Secretarial Compliance Report of Orient Electric Limited for the year ended 31st March, 2020

We, A. K. Labh & Co., *Company Secretaries*, have examined:

- all the documents and records made available to us and explanation provided by **Orient Electric Limited** (CIN : L31100OR2016PLC025892) having its Registered Office at Unit VIII, Plot No. 7, Bhoinagar, Bhubaneswar – 751 012, Odisha (“the listed entity”);
- the filings/submissions made by the listed entity to the stock exchanges;
- website of the listed entity;
- any other document/filing, as may be relevant, which has been relied upon to make this certification,

for the year ended 31st March, 2020 (“Review Period”) in respect of compliance with the provisions of:

- the Securities and Exchange Board of India Act, 1992 (“SEBI Act”) and the Regulations, circulars, guidelines issued thereunder; and
- the Securities Contracts (Regulation) Act, 1956 (“SCRA”), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India (“SEBI”);

The specific Regulations, as amended, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- Securities and Exchange Board of India (Listing Obligations and Disclosures Requirements) Regulations, 2015;
- Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- Securities and Exchange Board of India (Registrars to an Issue and Share Transfer Agents) Regulations, 1993 regarding the Companies Act and dealing with client;
- Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014;



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and circulars/ guidelines issued thereunder; and based on above, we hereby report that, during the Review Period :

- (a) The listed entity has compiled with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below:-

Sl.No	Compliance Requirement (Regulations/ circulars/guidelines including specific clause)	Deviations	Observations/ Remarks of the Practising Company Secretary
-	-	None	-

- (b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from our examination of those records.

- (c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:

Sl.No	Action taken by	Details of Violation	Details of Action taken E.g. fines, warning letter, debarment, etc.	Observations/ Remarks of the Practising Company Secretary, if any
-	-	None	-	-

- (d) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sl.No	Observation of practising Company Secretary in the previous reports	Observations made in the Secretarial compliance report for the year ended	Actions taken by the listed entity, if any	Comments of the Practising Company Secretary on the actions taken by the listed entity
1	Non-compliance of prior intimation for declaration of interim dividend	31 st March, 2019	Company paid the fine of Rs. 10,000/- each to BSE and NSE,	The fine as imposed by BSE and NSE was paid by the Company. The



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<p>under Regulation 29 of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015.</p> <p>Fine of Rs. 10,000/- was imposed by both, BSE and NSE, on the Company for the alleged violation.</p>		<p>under protest.</p>	<p>matter stands resolved.</p>
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- (e) The listed entity has modified the terms of appointment of Statutory Auditor in accordance with the provisions of 6(A) and 6(B) of the SEBI Circular CIR/CFD/CMD1/114/2019 dated 18th October, 2019.

Place : Kolkata
Date : 18-05-2020



For A. K. LABH & Co.
Company Secretaries

(CS A. K. LABH)

Practising Company Secretary

FCS – 4848 / CP No. - 3238

UDIN : F004848B000250711

